Attorney's Docket No.: 004781.P004

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Dan S. Decasper, et al.

Application No.: 09/660,991

Filed: September 13, 2000

For: PERSONALIZED CONTENT DELIVERY

USING PEER-TO-PEER PRECACHING

Assistant Commissioner for Patents Box Missing Parts Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION _____(FILING DATE GRANTED)_____

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed October 24, 2000, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R. § 1.16(e); and
 - (3) a copy of the Notice to File Missing Parts of Application.

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: ________ Michael J. Mallie
Reg. No. 36,591

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025-1026 (408) 720-8598

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington D.C. 20231

on	Nouember	21,2000	
	Date of D	eposit	•
	Angela M. Quinn		
	Name of Person Mailing O	Correspondence	
	m-n Oun.	11-21-00	
	Signature	Date	•

PTO/SB/17(11-00)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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FEE TRANSMITTAL FOR FY 2001						
TOTAL AMOUNT OF PAYMENT (\$)						
Complete if Known:						
Application No. 09/660,						
Filing Date September 13, 2 First Named Inventor Dan S						
Group Art Unit Not Yet Assignment						
Examiner Name Not Yet Ass	igned G					
Attorney Docket No. 004781.P004						
METHOD OF PAYMENT (c						
1. [X] The Commi any over pa	ssioner is hereby authorized to charge indicated fees and credit yments to:					
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[X] Charge Any	Additional Fee Required Under 37 CFR 1.16 and 1.17					
[] Applicant c	laims small entity status. See 37 CFR 1.27					
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FEE CALCULATION						
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	Extra Claims <u>below</u> Fee Paid					
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Independent Claims	-3** = X =					
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127 50 227 25 Surcharge - late provisional filing fee or cover sheet						
139 130 139 130 Non-English specification						
147 2,520 147 2,520 For filing a request for ex parte reexamination 112 920* 112 920* Requesting publication of SIR prior to Examiner action 115 110 215 55 Extension for reply within first month 116 390 216 195 Extension for reply within second month 117 890 217 445 Extension for reply within third month 118 1,390 218 695 Extension for reply within fourth month 128 1,890 228 945 Extension for reply within fifth month 119 310 219 155 Notice of Appeal 120 310 220 155 Filing a brief in support of an appeal 121 270 221 135 Request for oral hearing 138 1,510 138 1,510 Petition to institute a public use proceeding 140 110 240 55 Petition to revive - unavoidable 141 1,240 241 620 Petition to revive - unintentional 142 1,240						
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126 180 126 180 Submission of Information Disclosure Stmt						
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property (times number of properties) 146 710 246 355 For filing a submission after final rejection						
(see 37 CFR 1.129(a)) 149 710 249 355 For each additional invention to be examined						
(see 37 CFR 1.129(b))						
179 710 279 355 Request for Continued Examination (RCE) 169 900 169 900 Request for expedited examination of a design						
application Other fee (specify)						
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SUBTOTAL (3) \$ 130.00 *Reduced by Basic Filing Fee Paid						
SUBMITTED BY: Typed or Printed Name: Michael J. Mallie						
Signature: Date: 11 20 000						
Reg. Number: <u>36,591</u> Telephone Number: <u>(408) 720-8300</u>						

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on	November	21	2000
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LILLE, ETITOOTIVATELE	Filing/Issue Date: 9/13/00 DELIVERY USING PEER-TO-PEER
PRECACHING BSTZ File No.: 004781.P004 Date Mailed: 11/2f/00 The following has been received in the U.S. Pa Amendment/Response (pgs.) Appeal Brief (pgs.) (in triplicate) Application - Utility (pgs., with cover and abstract)	Atty/Secty Initials: MJM/amg Docket Due Date: 12/24/00 tent & Trademark Office on the date stamped hereon: Express Mail No.: Amt: 130.00 httmaton Disclosure Statement & PTO. 149 (_pgs.) Check No
Application - Rule 1.53(b) Continuation (pgs.) Application - Rule 1.53(b) Divisional (pgs.) Application - Rule 1.53(b) CIP (pgs.) Application - Rule 1.53(d) CPA Transmittal (pgs.) Application - Design (pgs.)	☐ Issue Fee Transmittal Amt: ☐ Notice of Appeal ☐ Petition for Extension of Time ☐ Petition for
Application - PCT (pgs.) Application - Provisional (pgs.) Assignment and Cover Sheet Certificate of Mailing Declaration & POA (pgs.) (signed)	Preliminary Amendment (pgs.) Reply Brief (pgs.) XX Response to Notice of Missing Parts Small Entity Declaration for Indep. Inventor/Small Business Transmittal Letter, in duplicate
Dissioure Does & Oig & Copy of Inventors Signed Letter (KKFee Transmittal, in duplicate File Missing Parts (1 pg.).

115



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/660,991

09/13/2000

Dan S. Decasper

004781.P004

Michael J Mallie Blakely Sokoloff Taylor & Zafman LLP Seventh Floor 12400 Wilshire Boulevard Los Angeles, CA 90025-1026



FORMALITIES LETTER OC000000005501401

Date Mailed: 10/24/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned..
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/28/2000 MMARMOL 00000067 09660991

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